



**ASIC**  
Australian Securities &  
Investments Commission

## Banned and Disqualified Organisations Dataset – Help File



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# Banned and Disqualified Organisations Dataset

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HELP FILE



## Banned and Disqualified Organisations Dataset – Help File

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## Banned and Disqualified Organisations Dataset – Help File

# Getting Started

## 1. Purpose

This document is to provide guidance for the Banned and Disqualified Organisations Dataset files uploaded infrequently to data.gov.au by ASIC.

## 2. Banned and Disqualified Organisations Dataset

The Banned and Disqualified Organisations Dataset file on data.gov.au is extracted from ASIC's Banned and Disqualified Registers. This dataset is a point in time snapshot of the Banned and Disqualified Organisations Register data.

The dataset provides information on organisations that are:

- banned from engaging in credit activities by a State or Territory
- banned or disqualified from providing financial services, and
- banned or disqualified from engaging in credit activities.

Some of the listed organisations may no longer be registered with ASIC and would be listed as deregistered companies.

The Banned and Disqualified Organisations Dataset contains publicly available information. See the data dictionary for fields available in the dataset.

### 2.1. File Format

The Banned and Disqualified Organisations Dataset is provided in a file with the extension of csv (comma separated values), xlsx and tsv.

**Note:** The Banned and Disqualified Organisations Dataset csv is **not** separated by commas.

The Banned and Disqualified Organisations Dataset csv uses the 'TAB' character as the delimiter (value separator) between values in the dataset; the 'TAB' character is used in place of a comma separator because names can contain commas and therefore are not a suitable delimiter for this dataset. The csv file uses double quote encapsulation around all String datatype variables that contain either a comma (,) or double quote (").



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An example of the data in the Banned and Disqualified Organisations Dataset csv is as follows

REGISTER_NAME	BD_ORG_ACN	BD_ORG_NAME	BD_ORG_TYPE	BD_ORG_URL
	BD_ORG_START_DT	BD_ORG_END_DT	BD_ORG_COMMENT	
Banned and Disqualified Organisations	156070290	RAY RENTALS PTY LTD	Credit banning	<a href="https://download.asic.gov.au/media/1322185/Banning_order_Ray_Rentals_Pty_Ltd.pdf">https://download.asic.gov.au/media/1322185/Banning_order_Ray_Rentals_Pty_Ltd.pdf</a> 24/06/2013
	20170624	No comment made		

### 2.2. File interaction

It is recommended users interact with the dataset on data.gov.au using one of the following methods:

**Important note:** XLSX file extensions is no longer supported by CKAN as of December 2021 and any information extracted using an API method may not return up to date data. For more information, see the [CKAN website](#).

- Dataset Preview on data.gov.au
- Download the dataset from data.gov.au
- Access resource data via a web API with powerful query support (Web API information can be found in the [main CKAN Data API and DataStore documentation](#)).

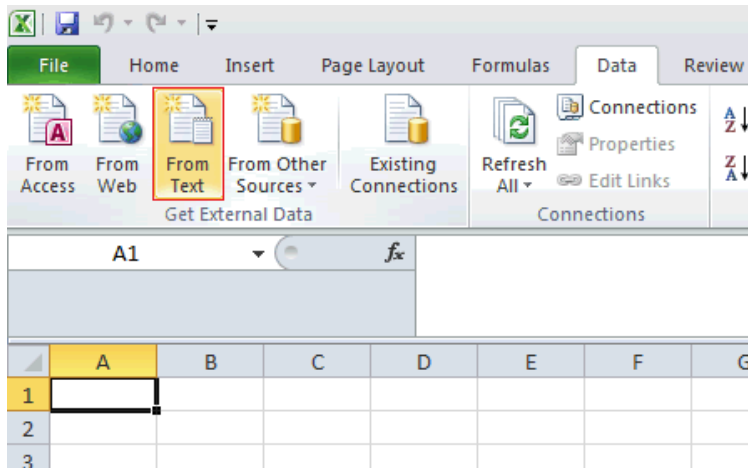


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### 2.3. File Import into Excel

To import the file to Excel use the following steps:

1. Open Excel with a new blank workbook.
2. Import data by selecting the 'Data' menu and 'From Text' as the external data source.



3. Choose your file as the data source and select 'Import'.



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4. Import Wizard:
  - a. Select 'Delimited' as the Original data type then select Next.

Text Import Wizard - Step 1 of 3

The Text Wizard has determined that your data is Delimited.  
If this is correct, choose Next, or choose the data type that best describes your data.

Original data type

Choose the file type that best describes your data:

Delimited - Characters such as commas or tabs separate each field.

Fixed width - Fields are aligned in columns with spaces between each field.

Start import at row: 1 File origin: MS-DOS (PC-8)

Preview of file P:\Registry Reporting\Programme\Data.gov.a...\SMSF\_Auditor\_Register\_201512.csv.

1	REGISTER_NAME	SMSF_NUM	SMSF_NAME	SMSF_STATUS	SMSF_PERSON_ABN	S
2	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
3	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
4	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
5	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	

Buttons: Cancel, < Back, Next >, Finish

- b. Select 'Tab' as the Delimiter by checking the box and clear the other check boxes. Then select double quotes " as the Text qualifier and select Next.

Text Import Wizard - Step 2 of 3

This screen lets you set the delimiters your data contains. You can see how your text is affected in the preview below.

Delimiters

Tab

Semicolon

Comma

Space

Other:

Treat consecutive delimiters as one

Text qualifier: " "

Data preview

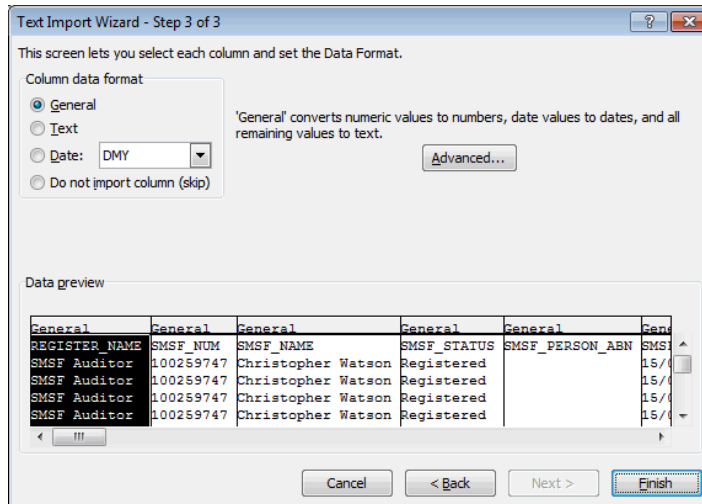
REGISTER_NAME	SMSF_NUM	SMSF_NAME	SMSF_STATUS	SMSF_PERSON_ABN	SMSF
SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	

Buttons: Cancel, < Back, Next >, Finish

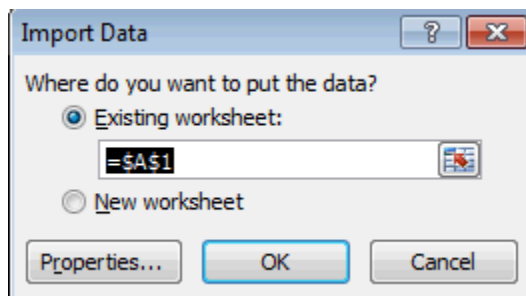


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- c. Select 'General' for Column data format, then select Finish.



5. Put the data into the Existing blank worksheet by entering '=A\$1' in the text box. Select OK.



### Note:

Support for importing data into Excel workbooks can be found on the Microsoft website

<https://support.office.com/en-za/article/Text-Import-Wizard-c5b02af6-fda1-4440-899f-f78bafef41857>



## Banned and Disqualified Organisations Dataset – Help File

### 3. Data Dictionary

The Banned and Disqualified Organisations Dataset contains the following fields:

Field	Description	Data Type	Max Length	Data Parameters
<b>REGISTER_NAME</b>	The name of the register the record belongs to.	Text	255	String identifying the register name
<b>BD_ORG_ACN</b>	The Australian Company Number (ACN) of the organisation that has been banned or disqualified.	Numeric	9	Format: 9 digit numerical including leading zeros (e.g. 012345678)
<b>BD_ORG_NAME</b>	The name of the organisation that has been banned or disqualified.	Text	255	As appears on ASIC's register
<b>BD_ORG_TYPE</b>	The type of banning or disqualification that has been applied.	Text	255	As appears on ASIC's register
<b>BD_ORG_URL</b>	The URL link to the supporting documentation.	Text	255	Supporting documentation can include, but is not limited to; - Court Order for ban or disqualification - Information from ASIC's website about the ban or disqualification  <b>Logic:</b> <b>IF</b> supporting documentation is available <b>THEN</b> show URL link <b>ELSE</b> show "Not Available"
<b>BD_ORG_START_DT</b>	The start date of the ban or disqualification.	Date/Time	10	<b>Date format:</b> DD/MM/YYYY





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Field	Description	Data Type	Max Length	Data Parameters
<b>BD_ORG_END_DT</b>	The end date of the ban or disqualification.	Text	255	Dates are formatted in YYYYMMDD  <b>Logic:</b> <b>IF</b> The ban or disqualification has an end date <b>THEN</b> BD_ORG_END_DT <b>ELSE</b> Null
<b>BD_ORG_COMMENT</b>	Additional information associated with the ban or disqualification.	Text	1000	As appears on ASIC's register
<b>BD_ORG_HTML</b>	The HTML for the URL where applicable.	Text	255	HTML where applicable



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### 4. ASIC Connect Search

An alternative to accessing the data contained in the Banned and Disqualified Organisations Dataset is to use [ASIC Connect Search](#). You can view publicly available information and purchase extracts on the entities contained on our registers.

### 5. Dataset Change Log

Change	Date	Description
<b>1.0</b>	25/11/2016	Updated help file information
<b>Update help file</b>	29/04/2022	Updated help file information
<b>Update help file</b>	26/03/2024	Updated help file information

### 6. Contact

Enquiries can be directed to [Access.Request@asic.gov.au](mailto:Access.Request@asic.gov.au)