



**ASIC**  
Australian Securities &  
Investments Commission

## Registered Auditor Dataset – Help File



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# Registered Auditor Dataset

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## HELP FILE



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## Registered Auditor Dataset – Help File

# Getting Started

## 1. Purpose

This document is to provide guidance for the Registered Auditor Dataset files uploaded to data.gov.au by ASIC every Friday.

## 2. Registered Auditor Dataset

The Registered Auditor Dataset file on data.gov.au is extracted from ASIC's Registered Auditor Register. This dataset is a point in time snapshot of Registered Auditor Register data.

The Registered Auditor Dataset contains publically available information for approved Registered Auditors. See the data dictionary for fields available in the dataset.

### 2.1. File Format

The Registered Auditor Dataset is provided in a file with the extension of csv (comma separated values), xlsx and tsv.

**Note:** The Registered Auditor Dataset csv is **not** separated by commas.

The Registered Auditor Dataset csv uses the 'TAB' character as the delimiter (value separator) between values in the dataset; the 'TAB' character is used in place of a comma separator because names can contain commas and therefore are not a suitable delimiter for this dataset. The csv file uses double quote encapsulation around all String datatype variables that contain either a comma (,) or double quote (").



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An example of the data in the Registered Auditor Dataset csv is as follows

REGISTER_NAME	REG_AUD_NUM	REG_AUD_NAME	REG_AUD_ACN	REG_AUD_START_DT	
REG_AUD_STATUS	REG_AUD_SUSP_DT	REG_AUD_ADD_LOCAL	REG_AUD_ADD_STATE		
REG_AUD_ADD_PCODE	REG_AUD_ADD_COUNTRY				
Registered Auditor	123456	COMPANY ONE PTY LTD	123456789	24/07/2016	APPR
null	SYDNEY	NSW 2000 Australia			

### 2.2. File interaction

The Registered Auditor Dataset contains over 2,000 records.

It is recommended users interact with the dataset on data.gov.au using one of the following methods:

**Important note:** XLSX file extensions is no longer supported by CKAN as of December 2021 and any information extracted using an API method may not return up to date data. For more information, see the [CKAN website](#).

- Dataset Preview on data.gov.au
- Download the dataset from data.gov.au
- Access resource data via a web API with powerful query support (Web API information can be found in the [main CKAN Data API and DataStore documentation](#)).

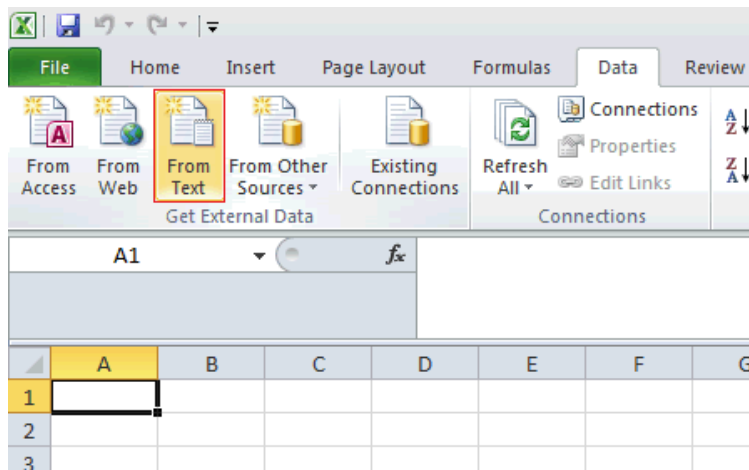


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### 2.3. File Import into Excel

To import the file to Excel use the following steps:

1. Open Excel with a new blank workbook.
2. Import data by selecting the 'Data' menu and 'From Text' as the external data source.



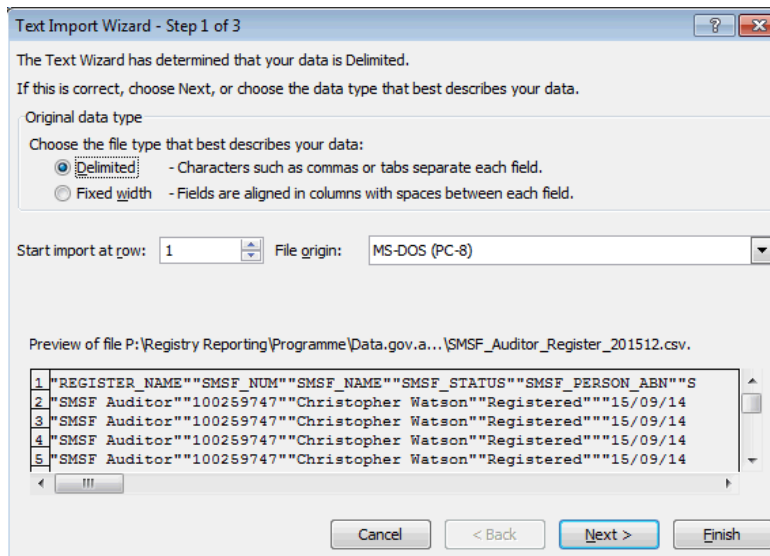
3. Choose your file as the data source and select 'Import'.



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### 4. Import Wizard:

- a. Select 'Delimited' as the Original data type then select Next.



The Text Wizard has determined that your data is Delimited.  
If this is correct, choose Next, or choose the data type that best describes your data.

Original data type

Choose the file type that best describes your data:

☒ Delimited - Characters such as commas or tabs separate each field.

☐ Fixed width - Fields are aligned in columns with spaces between each field.

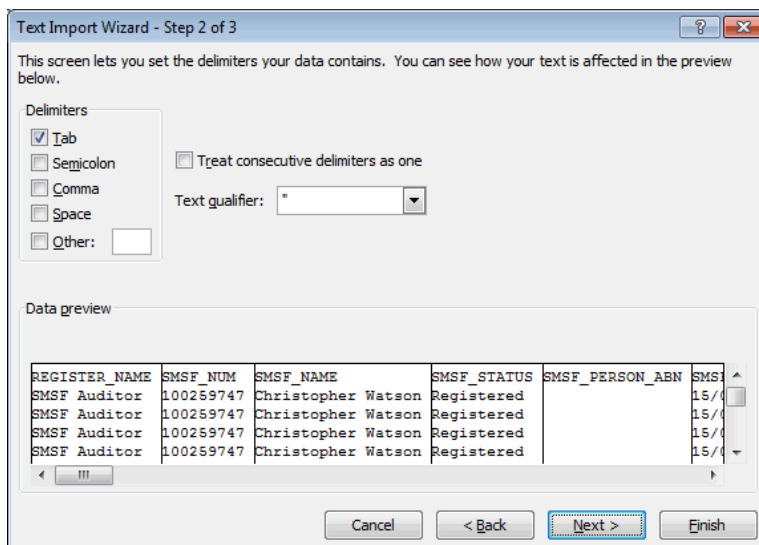
Start import at row: 1 File origin: MS-DOS (PC-8)

Preview of file P:\Registry Reporting\Programme\Data.gov.a...\SMSF\_Auditor\_Register\_201512.csv.

	REGISTER_NAME	SMSF_NUM	SMSF_NAME	SMSF_STATUS	SMSF_PERSON_ABN	S
1	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
2	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
3	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
4	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
5	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	

Buttons: Cancel, < Back, Next >, Finish

- b. Select 'Tab' as the Delimiter by checking the box and clear the other check boxes. Then select double quotes " as the Text qualifier, and select Next.



This screen lets you set the delimiters your data contains. You can see how your text is affected in the preview below.

Delimiters

☒ Tab

☐ Semicolon

☐ Comma

☐ Space

☐ Other:

☐ Treat consecutive delimiters as one

Text qualifier: " " " " " "

Data preview

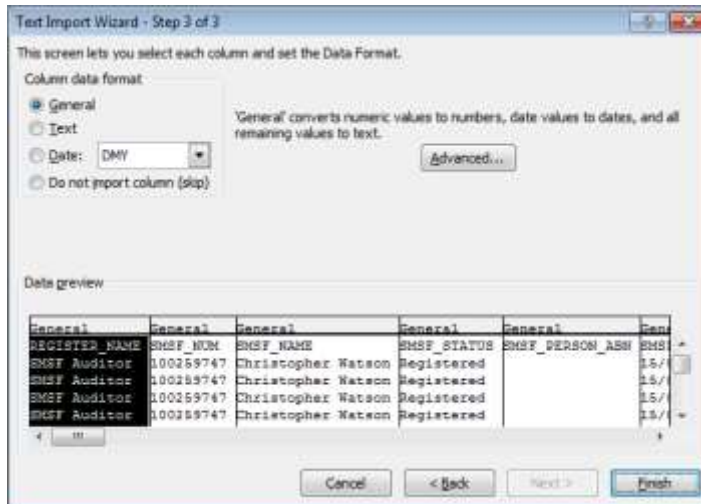
REGISTER_NAME	SMSF_NUM	SMSF_NAME	SMSF_STATUS	SMSF_PERSON_ABN	SMSF
SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	

Buttons: Cancel, < Back, Next >, Finish

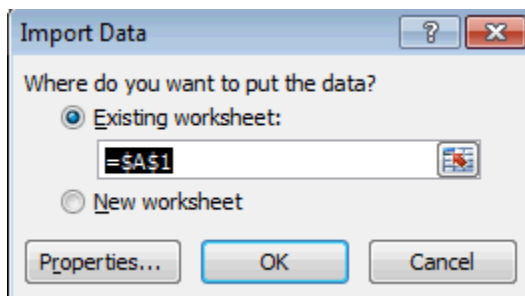


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- c. Select 'General' for Column data format, then select Finish.



5. Put the data into the Existing blank worksheet by entering '=\$A\$1' in the text box. Select OK.



### Note:

Support for importing data into Excel workbooks can be found on the Microsoft website

<https://support.office.com/en-za/article/Text-Import-Wizard-c5b02af6-fda1-4440-899f-f78baf41857>



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### 3. Data Dictionary

The Registered Auditor Dataset contains the following fields:

Field	Description	Data Type	Max Length	Data Parameters
<b>REGISTER_NAME</b>	The name of the register the record belongs to.	Text	255	String identifying the register name
<b>REG_AUD_NUM</b>	A unique identifying number allocated to the Registered Auditor.	Numeric	30	Format: A numerical value including leading zeros (e.g. 012345678)
<b>REG_AUD_NAME</b>	The register entry name of the Registered Auditor.	Text	255	As appears on ASIC's register  <b>Logic:</b> <b>IF</b> record relates to a person <b>THEN</b> Show as 'Last name, Given names' <b>ELSE</b> Full organisation name
<b>REG_AUD_ACN</b>	The Registered Auditor's Australian Company Number (ACN).	Numeric	11	The ACN for the Registered Auditor  <b>Logic:</b> <b>IF</b> Registered Auditor Register has provided an ACN <b>THEN</b> show ACN <b>ELSE</b> show null
<b>REG_AUD_START_DT</b>	The start date of the Registered Auditor.	Date/Time	10	<b>Date format:</b> DD/MM/YYYY
<b>REG_AUD_STATUS</b>	The registration status of the Registered Auditor.	Text	4	Values for this field are limited to the following: <b>APPR</b> – Approved





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Field	Description	Data Type	Max Length	Data Parameters
REG_AUD_SUSP_DT	The start date of the Registered Auditor suspension.	Date/Time	10	<b>Date format:</b> DD/MM/YYYY  <b>Logic:</b> <b>IF</b> Auditor is suspended <b>THEN</b> show suspended start date <b>ELSE</b> show null
REG_AUD_ADD_LOCAL	The town of the current principal place of practice address of the Registered Auditor.	Text	255	Locality from current principal place of practice address of the Registered Auditor  <b>Note:</b> Blank fields represent records with a foreign address where the locality could not be validated.
REG_AUD_ADD_STATE	The state of the current principal place of practice of the Registered Auditor.	Text	3	State from current principal place of practice address of the Registered Auditor.  <b>Note:</b> Blank fields represent records with a foreign address where the state could not be validated.
REG_AUD_ADD_PCODE	The postcode of the current principal place of practice address of the Registered Auditor.	Numeric	4	Postcode from current principal place of practice address of the Registered Auditor.  <b>Logic:</b> <b>IF</b> Country is not “Australia” <b>THEN</b> postcode = null <b>ELSE</b> show postcode



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Field	Description	Data Type	Max Length	Data Parameters
REG_AUD_ADD_COUNTRY	The country of the principal place of practice address of the Registered Auditor.	Text	255	Country from current principal place of practice address of the Registered Auditor.  <b>Logic:</b> <b>IF</b> country is not 'Australia' <b>THEN</b> show 'International' <b>ELSE</b> 'Australia'



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### 4. ASIC Professional Registers Search

An alternative to searching the data contained in the Registered Auditor Dataset is to use the [ASIC Professional Registers Search](#). You can view publicly available information and purchase extracts on the entities contained on our registers.

### 5. Contact

Enquiries can be directed to [Access.Request@asic.gov.au](mailto:Access.Request@asic.gov.au)